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AI IN THE CONTEXT OF SPACE AUTONOMY

Artificial intelligence (AI) is an approach to enhance autonomy in space. Al is **not limited** to a computerized form only, e.g. in the form of algorithms, but it also **includes elements of embodiments**.

Autonomy consists of a **characteristic of a system** whereas AI deals with the evolution of machine intelligence with the goal to maintain observability and controllability, and to create machine capability.

When considered in the broader context of **space autonomy**, the technology push behind the evolution of machine intelligence is taking place in a **shared** autonomy paradigm where **the human agent remains a crucial part** of Al-based space applications deployment.

MISSION EXECUTION AUTONOMY LEVELS FOR NOMINAL MISSION OPERATIONS

- E1 Mission execution under ground control; limited on-board capability for safety issues
- Real-time control from ground for nominal operations
- Execution of time-tagged commands for safety issues

Execution of pre-planned, ground defined, mission operations on-board

• Capability to store time-based commands in an on-board scheduler

Execution of adaptive mission operations onboard

• Event-based autonomous operations

E3

• Execution of on-board operations control procedures

EXECUTION OF goal-oriented mission operations on-board

• Goal-oriented mission re-planning

In Europe

When designing an algorithm for space application, the practice is to map the engineering design following the standards issued by the European Cooperation for Space Standardization (ECSS).

The ECSS defines **four mission execution autonomy levels** relative to **on-board autonomy** for executing nominal mission operations.

A central role in **characterizing the degree of autonomy** has the granularity at which **interaction between the robot and the mission control** takes place.

A very low level of autonomy involves a high level of control from the ground, i.e., manually controlled, or automated systems.

A high level of autonomy allows most of the functions to be performed on-board.

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Space engineers strive to **accelerate AI-related technologies** to overcome the difficulties posed by sporadic and slowed communication typical for teleoperation and circumstances precluding direct human oversight of certain functions.

The ECSS addresses mission level security of the space segment from a high-level cybersecurity perspective by focusing on data and networks. **SECURITY BY DESIGN**

Encompasses the integrity and confidentiality of each data stream produced and the authentication and authorization of each telecommand received.

ISO/IEC TR 24028 APPLIED TO SPACE

TECHNICAL REPORT ISO/IEC TR 24028 (Standard) On security, notably in the context of trustworthiness of systems providing or using AI.

APPLYING TRUSTWORTHINESS APPROACHES TO AI SYSTEMS

The Standard focuses upon machine learning. According to it, AI systems can be **subject to targeted security threats**, notably data poisoning, adversarial attacks, and model stealing where typical attacks on machine learning would involve digital attacks affecting data confidentiality, integrity, and availability.

Furthermore, the Standard recommends preventive and mitigation measures, including human-in-the-loop control points and testing and evaluating AI systems.

Taking a holistic approach to **standardize the entire AI ecosystem**, the ISO/IEC issued a number of standards covering various aspects of AI.

ISO: International Organisation for Standardization | IEC: International Electrotechnical Commission

KEEPING A GENERAL APPROACH

The Standard **does not address differences between various machine learning techniques**, for instance, between deep neural learning methods compared to other supervised learning approaches.

The Standard makes reference to a **general process of risk management** such as the one defined in <u>ISO Risk management</u> <u>guidelines</u>. In order to develop a strategy for risk management and **ensure the resilience of a space system**, said guidelines need to be interpreted considering the **extent of the risk specific to** AI, all used technologies and their interaction in the space system, which is, by its very nature, a cyber-physical system.

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Building upon synergies between different industries is another way to go towards forging cybersecurity for AI-based space applications.

NUCLEAR AND SPACE

Anticipated that AI standardization in the nuclear sector, which is currently *in progress*, will refer to **ITU standards related to AI**. According to the preliminary architecture of the portfolio, it will include securityrelated topics, such as **risk assessment of AI applications** and **data quality management** for AI for nuclear energy, which may be relevant to the space sector.

AUTONOMOUS VEHICLES AND SPACE

The way autonomy is defined by the Society of Automotive Engineers (SAE) exhibits similarities to space autonomy. Notably it could be argued that the **taxonomy** established by the SAE, which covers **6 levels of vehicle driving automation**, shares a similar paradigm with space autonomy, namely human-machine interaction that helps to outline different system capabilities corresponding to specific degree of autonomy.

Additionally, autonomous space applications and autonomous vehicles are comparable due to the **complexity of their technical systems** and the many resulting interactions where **the system's behavior is susceptible to changes in the environment**.

Hence, the cybersecurity approach towards AI employed in the autonomous car industry, which consists in **implementing security solutions to secure AI in relation to other components and services of the system**, could be relevant to the space industry.

In the context of autonomous vehicles, cybersecurity threats and vulnerabilities are often **addressed under the angle of safety**, notably where intentional attacks aim to interfere with the AI system and disrupt safety-critical functions. Cybersecurity is thus part of the broader framework of **road vehicles safety**. When considered in the context of advanced functionalities included in vehicles throughout AI methods, **safety is of paramount concern for the road vehicles industry**.

Building upon this model, cybersecurity vulnerabilities of space applications of AI could be addressed by **integrating threat modelling within the formal verification process** typically deployed to **ensure and validate functional and safety properties** of the space systems.

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FUNCTION-SPECIFIC AI

Autonomy in space systems can be addressed at the system (or generalist AI) and the functionspecific levels.

Integrating autonomy at function-specific level is more common.

To address AI at the functional level within space components and see how it interacts with other components of the system, a reference architecture can be used. Unlike other situations where it has been applied, here reference architecture relies upon a high-level specification of space systems and consists of an initial cyber security analysis preceding low-level security analysis.

Certification may play a **crucial role in formalizing AI reliance and interoperability**, which then could contribute to its **wider acceptance and applicability**. However, typical certification procedures for traditional software cannot be applied in a straight-forward manner to AI, notably machine learning. Hence, certifying AI would call for review of major certification topics.



RELEVANT STANDARDS

- Technical Report, ISO/IEC TR 24028, "Information technology Artificial intelligence Overview of trustworthiness in artificial intelligence" First edition 2020-05.
- ISO 31000:2018, provides a common approach to manage any type of risk faced by
 organizations and could be customized to any organization and its context. It is not industry
 or sector specific.
- ISO 26262 -1:2018 on functional safety.
- SOTIF ISO/PAS 21448:2019 Road vehicles Safety of the intended functionality.
- F.AICO-GA, ISO/IEC SC42, technical specifications for artificial intelligence cloud platform: general architecture.
- **F.748.12** (ex. F. AI-DLFF) approved 2021-06-13, Deep learning software framework evaluation methodology.
- **ECSS-E-ST-70-11C**, European Cooperation for Space Standardization, Space engineering, Space segment operability, 31th July 2008.

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